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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ROTHBARTH, Karsten

Application No. 09/701,618

Confirmation No. 8034

Filed: December 1, 2000

For: METHOD FOR TRIGGERING  
APOPTOSIS IN CELLS

Art Unit: (Unassigned - New Appln.)

Examiner: (Unassigned - New Appln.)

Atty. Docket No. 2502498-991100  
(formerly 41154)

Customer No.

\*35928\*  
35928

PATENT TRADEMARK OFFICE

**REPLY TO NOTIFICATION OF DEFECTIVE RESPONSE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Mail Stop PCT

Sir:

In reply to the Notification mailed March 14, 2003, copy attached hereto, attaches hereto is the filing of April 13, 2001 including the executed Declaration and surcharge. The recorded Assignment has been received by the undersigned.

Thus, the application is complete and the Notification of March 14, 2003 must be vacated. In view thereof, it is believed no fees are required. However, if the Commissioner finds to the contrary, any fee associated with the instant reply can be charged to Deposit Account No. 07-1896.

10/29/2003 WALLACE 00000002 071896 09701618  
01 FC:2617 65.00 DA

Date: June 30, 2003

Respectfully submitted,

Dean H. Nakamura, Esq.  
Registration No. 33,981  
Gray Cary Ware & Freidenrich LLP  
1625 Massachusetts Avenue, N.W.  
Suite 300  
Washington, D.C. 20036  
Telephone: (202) 238-7725  
Facsimile: (202) 238-7701



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/701,618	Karsten Rothbarth	41154

INTERNATIONAL APPLICATION NO.

PCT/DE99/01684

I.A. FILING DATE

06/02/1999

PRIORITY DATE

06/03/1998

Roylance Abrams Berdo & Goodman  
1300 19th Street N W Suite 600  
Washington, DC 20036

RECEIVED By: *Am*

MAR 21 2003

CONFIRMATION NO. 8034

371 FORMALITIES LETTER



\*OC000000009641417\*

Docketed By: *Am*Date Docketed: *3/21/03*

Attorney:

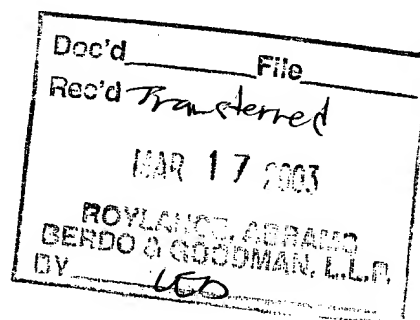
C/M No. *25 02498.991100*

Date Mailed: 03/14/2003

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Indication of Small Entity Status
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Biochemical Sequence Listing
- Claims
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Drawings
- Small Entity Statement



Applicant's response filed 12/01/2000 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 04/30/2001 have not been completed.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months from the

priority date (37 CFR 1.492(e)) is required.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. Extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 305-3736

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/701,618	PCT/DE99/01684	41154



Due Date \_\_\_\_\_ Today's Date 4/13/01  
USSN/USP 09/781,980 RAB&G FILE NO. 41154 BY: DIN, KAY  
In Re Eisenhut et al.  
For Oligonucleotide Conjugates

The following was received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☒ Check for \$ 654.840  
☐ Specification \_\_\_\_\_ pgs. \_\_\_\_\_ claims  
☒ Combined Decl., Petition & Power  
☒ Assignment  
☐ Drawings \_\_\_\_\_ Sheets ☐ Formal ☐ Informal  
☐ Small Entity Statement  
☐ Claim for Priority & ☐ Document  
☐ Information Disclosure Statement  
☐ Trademark Application & \_\_\_\_\_ Specimens  
☐ Rule 53(b) Appln. ☐ Rule 53(d) Appln. (CPA)

- ☐ Amendment ☐ Response  
☐ Notice of Appeal  
☐ Brief ☐ Req. Oral Hearing  
☐ Issue Fee Transmittal  
☐ Trademark Renewal Application  
☐ Decl. of Use ☐ 8 ☐ 15  
☐ Petition to Extend \_\_\_\_\_ mos./days  
☐ Completion of Application  
☒ \_\_\_\_\_  
☐ \_\_\_\_\_  
☒ Due Date Not Related To Response

41154

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

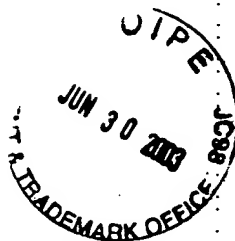
In re Application of:

Eisenhut et al.

Serial No.: 09/781,980

Filed: 14 February 2001

For: Oligonucleotide Conjugates



Attention: Box Missing Parts

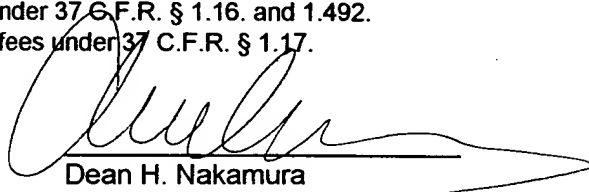
COMPLETION OF APPLICATION UNDER 37 C.F.R. § 1.495

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Attached and submitted herewith are:

- ☒ An executed Declaration and Power of Attorney.
- ☒ Surcharge \$65.00 and Assignment recording fee \$40.00.
- ☒ An assignment of the invention.
- ☒ Checks in the amount of \$40.00 and \$65.00 are attached.
- ☒ The Commissioner hereby is authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 18-2220. A duplicate copy of this sheet is attached.
  - ☒ Any additional patent application filing fees under 37 C.F.R. § 1.16. and 1.492.
  - ☒ Any additional patent application processing fees under 37 C.F.R. § 1.17.

  
Dean H. Nakamura  
Reg. No. 33,981

Roylance, Abrams, Berdo & Goodman, L.L.P.  
1300 19<sup>th</sup> Street, N.W., Suite 600  
Washington, D.C. 20036  
(202) 659-9076  
Dated: 13 April 2001